

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Application No.:	10/697,541
	Filing Date:	October 30, 2003
	First Named Inventor:	Alan M. Buckwalter et al.
	Art Unit:	3694
	Examiner Name:	Shahid R. Merchant
	Docket No.:	17209-322CP1

U.S. PATENTS ⁶						
Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
/S.M./	1	6,829,589		12-04	Saliba, Anthony J.	
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/S.M./	5	2002/0091606		07-02	Shapiro	
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/S.M./	19	"Trade Execution: What Every Investor should know," from < www.sec.gov >	
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